



Supplementary Declarations 补充声明

The Supplementary Declarations below set out the declarations and important information appearing in the Integrated Account - HSBC GBA Wealth Management Connect Account Opening Form and Integrated Account - Investment Services Application Form (also known as Integrated Account Opening/Conversion Form - Investment Services) (together the "Account Application Forms") which are relevant to HSBC GBA Wealth Management Connect Southbound Services (the "WMC Services") and to which an applicant for the WMC Services will need to agree. 补充声明列出《综合理财户口 - 汇丰大湾区理财通开户表》及《综合理财户口 - 投资服务申请表 (亦可称为综合理财户口开户/转换表 - 投资服务)》(合称「开户申请表」)中适用于汇丰大湾区理财通南向通服务(「理财通服务」)的声明及重要条款,而理财通服务申请人士须同意该等声明及条款。

1. I/We confirm that the information given in the Account Application Forms is correct and complete, and authorise The Hongkong and Shanghai Banking Corporation Limited (the "Bank" and/or "HSBC") to confirm this from any source the Bank may choose. I/We also undertake to notify the Bank immediately of any changes to the above information. 本人(等)确认开户申请表内的资料乃属正确及完整,并授权香港上海汇丰银行有限公司(「贵行」及/或「汇丰」)可向任何方面查证。本人(等)亦同意如上述资料有任何改变,会立即通知贵行。
2. I/We have read and understand the Integrated Account Terms and Conditions, and agree to be governed by them. In particular, I/we understand and agree: 本人(等)经已阅读及明白综合理财账户条款及细则,并同意接受该等条款及细则的约束。就此而言,本人(等)明白及同意:
 - a. such Integrated Account Terms and Conditions cover and apply to a wide range of services and accounts provided under the Integrated Account; 此等综合理财账户条款及细则包括及适用于一系列由综合理财账户所提供的服务和账户;
 - b. the Bank may at any time at its sole discretion vary such Integrated Account Terms and Conditions upon giving me/us notice by any method as specified therein, including by way of display in the Bank's premises, and 贵行只须依照条款内所列出的方式通知本人(等),包括在贵行建筑物内张贴通告,即可随时全权自行决定更改该等综合理财账户条款及细则;及
 - c. that each service provided to, and each account opened by me/us from time to time whether applied now or at any time hereafter, under the Integrated Account will be subject to such Integrated Account Terms and Conditions as may be varied from time to time. 在任何时间为本人(等)就综合理财账户所提供的各项服务和所开立的各种账户,无论是现在或以后任何时间申请,将受此等综合理财账户条款及细则约束,而此等条款及细则可不时更改。
3. (Applicable to customers with request for Internet Banking and eStatement services (只适用于要求网上理财及电子结单服务之客户))
 - i. I/We hereby request for HSBC Personal Internet Banking Service and HSBC eStatement and eAdvice Services. 本人(等)特此要求汇丰个人网上理财服务,及汇丰电子结单及电子通知书服务。
 - ii. I/We understand and agree that I/we have to complete the online registration process for HSBC Personal Internet Banking Service in order to start enjoying HSBC Personal Internet Banking Service and to access the eStatements and eAdvices of my/our HSBC account(s). 本人(等)明白及同意本人(等)需要完成网上登记步骤以便享用汇丰个人网上理财和查阅本人(等)汇丰账户的电子结单及电子通知书。
 - iii. I/We agree that SMS reminders relevant to this request will be sent to my/our registered mobile number and paper statement(s)/advice(s) will be sent by post until I/we complete Internet Banking registration. I/We understand that eStatement(s)/eAdvice(s) will replace paper statement(s)/advice(s) upon successful registration. 本人(等)同意透过已登记之手机号码收取与此要求相关的短讯提示,及以邮寄方式收取结单/通知书,直至本人(等)完成网上理财登记。本人(等)明白成功登记后,邮寄结单/通知书将会被电子结单/电子通知书取代。
 - iv. I/We understand and agree that receiving paper statement is subject to an annual paper statement fee, details of which are found in the "Bank Tariff Guide" available on HSBC website or at HSBC branches. 本人(等)明白及同意本人(等)若选择以邮寄方式收取结单,贵行将会收取邮寄结单年费。详情载于汇丰网站或分行提供的「银行服务费用简介」。I/We understand that I/we can change my/our preference afterwards by using HSBC Online Banking or calling our hotlines. 本人(等)明白日后透过汇丰网上理财或致电客户服务热线更改结单收取方式。
4. I/We agree that future important notifications* relating to my/our accounts and services will be sent via my/our preferred channel as per the record of (the "Bank" and/or "HSBC") where feasible. If I/we have not provided my/our preference before, (the "Bank" and/or "HSBC") may, where feasible, send such notifications to me/us via electronic format if I/We have a valid email address in (the "Bank" and/or "HSBC") record. 本人(等)同意其户口及服务日后的重要通知*将会在可行情况下按本人(等)于(「贵行」及/或「汇丰」)纪录中已选定的方式发送。如本人(等)先前未有选定相关方式而本人(等)已在(「贵行」及/或「汇丰」)纪录中留有有效电邮地址(「贵行」及/或「汇丰」)会在可行的情况下,以电子形式向本人(等)发送相关通知。

The terms and conditions and information of fees and charges that I/we receive in electronic format at the time of application or subsequently can be downloaded from (the "Bank" and/or "HSBC") public website. I/We can save a copy for my/our future reference. Unless I/we tell (the "Bank" and/or "HSBC") that I/we object to this, or request separately, (the "Bank" and/or "HSBC") has no obligation to provide them to me/us in paper format subsequently by post. The terms and conditions and information of fees and charges may only be available for downloading during the period of their validity. I/We may not be able to download them after they cease to be valid.

本人(等)于申请时或其后以电子形式收取的条款及细则及服务费用资讯均可于(「贵行」及/或「汇丰」)网站下载,并可储存副本以供日后参考。除非本人(等)通知(「贵行」及/或「汇丰」)本人(等)反对或另行要求,(「贵行」及/或「汇丰」)毋须随后向本人(等)以邮寄形式发送该等资讯的纸本文件。相关条款及细则以及服务费用资讯可能只会在其有效期内供下载,本人(等)或无法下载已失效的相关文件。

I/We can change my/our preference via the following channels:

本人（等）可经以下途径更改相关偏好设置：

- a. Login to HSBC HK App > Communication preferences > Important notifications preferences
登入HSBC HK App > 通讯偏好设置 > 接收重要通知偏好设置
- b. 'Chat with us' on HSBC HK App or Online Banking
HSBC HK App或网上理财的「线上对话」功能
- c. Call HSBC hotline at 2233 3322 (HSBC Premier) or 2233 3000 (Others)
致电客户服务热线2233 3322（汇丰卓越理财）或2233 3000（其他）
- d. Visit one of the HSBC branches in Hong Kong
前往任何香港汇丰分行

*For the list of important notifications, please refer to www.hsbc.com.hk/inp-en.

*有关重要信息列表，请查看www.hsbc.com.hk/inp-sc。

5. I/We acknowledge that I/we have received and understand that Structured Investment Deposits, Deposit Plus and Certificates of Deposit are not protected deposits and are not protected by the Deposit Protection Scheme in Hong Kong.
本人（等）确认已收到通知，并明白结构投资存款、高息投资存款及存款证均不属于受保障存款及不会受香港的存款保障计划保障。
6. I/We understand and agree that foreign currency/renminbi Accounts are at all times subject to, and I/we also undertake to comply with, the law and all the rules, regulations, restrictions, directions, guidelines issued by the relevant authority governing the same and also any other related terms and conditions and publications issued by the Bank from time to time.
本人（等）明白及同意，外币/人民币储蓄服务在任何时候将受限于，而本人（等）亦承诺遵守，有关法律及由有关权力机构发出的所有规则、规定、限制、指示、指引等及其他由贵行不时发出的相关条款细则及刊物。
7. (Applicable to non-Hong Kong residents making Renminbi cross-border remittances only 只适用于申请非香港居民的人民币储蓄服务)
I/We agree and understand that cross-border remittances to or from the PRC or other places outside Hong Kong instructed by me/us are subject to the rules and requirements of the jurisdiction of the originating or receiving market.
本人（等）同意及明白非香港居民往来内地或其他香港以外地区的跨境汇款，则受限于收款或付款方所在地的相关规则 and 规定。
8. I/We understand that the remuneration for sales staff is determined based on the staff's overall performance with reference to a wide range of factors, and is subject to review from time to time, for the purpose of encouraging the building of deep, long-lasting and mutually valuable relationships with customers. It is not determined solely on financial performance.
本人（等）明白为鼓励销售人员与客户建立深厚、持久及互利的关系，其薪酬会参照多种因素及因应其整体表现不时检讨，并不单纯按其财务表现来厘定。
9. I/We acknowledge and agree that (i) certain information contained in this application form is collected and may be kept by the Bank for the purpose of automatic exchange of financial account information, and (ii) such information and information regarding the account holder and any reportable account(s) may be reported by the Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region ("IRD") and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112) ("IRO"). I/We undertake to advise the Bank of any change in circumstances which affects the tax residency status of the account holder, and to provide the Bank with a suitably updated self-certification form within 30 days of such change in circumstances. [Note: Please refer to section 50A of the IRO for the meaning of "account holder" and "reportable account" used in this declaration. Please also visit the IRD website that sets out information relating to the implementation of automatic exchange of financial account information in Hong Kong: http://www.ird.gov.hk/eng/tax/dta_aeoi.htm **Warning:** It is a serious offence under the IRO if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. Heavy penalty may apply upon conviction.]
本人/我们知悉及同意，贵行可根据《税务条例》（第112章）有关交换财务帐户资料的法律条文，（i）收集本申请表格所载的某些资料并可备存作自动交换财务帐户资料用途及（ii）把该等资料和关于帐户持有人及任何须申报帐户的资料向香港特别行政区政府税务局（「税务局」）申报，从而把资料转交到帐户持有人的税务管辖区的税务当局。本人/我们承诺，如情况有所改变，以致影响帐户持有人的税务居民身分，本人/我们会通知贵行，并会在情况发生改变后30日内，向贵行提交一份已适当更新的自我证明表格。[备注：有关在这一声明中「帐户持有人」和「须申报帐户」的含义，请参阅《税务条例》第50A条。另请参阅税务局网站 http://www.ird.gov.hk/chi/tax/dta_aeoi.htm 了解香港实施自动交换财务帐户资料的详情。**警告：**根据《税务条例》，如任何人在作出自我证明时，在明知一项陈述在要项上属具误导性、虚假或不正确，或罔顾一项陈述是否在要项上属具误导性、虚假或不正确下，作出该项陈述，即属严重罪行。一经定罪可致重罚。]
10. I/We confirm that I/we am/are acting as principal(s) in relation to the Investment Services Account(s).
本人（等）确认本人（等）是以主事人身分在投资服务户口进行交易。
11. To the extent applicable to my/our account, I/we confirm that I/we have been provided the risk disclosure statements and Explanation of Risk for Listed Derivatives (applicable for Investment Services Account with account suffix number 380) in the language of my/our choice (English or Chinese); and I/we have been invited to read the risk disclosure statements and Explanation of Risk for Listed Derivatives (applicable for Investment Services Account with account suffix number 380), to ask questions and to take independent advice if I/we wish.
如适用于本人（等）的户口，本人（等）确认已按照本人（等）选择的语言（英文或中文）获提供风险披露声明及上市衍生产品的风险（适用于户口字尾号码380的投资服务户口）；及已获邀阅读该风险声明及上市衍生产品的风险说明（适用于户口字尾号码380的投资服务户口）、提出问题及征求独立的意见[如本人（等）有此意愿]。

12. I/We hereby confirm that I/we am/are NOT (1) resident(s), citizen(s) or tax payer(s) of the United States of America ("US") [and none of my/our address(es) on your record is in the US] or (2) residents of Canada ("CA") or (3) national(s) of South Korea who is/are also resident(s) of South Korea ("KR"), whether for US, CA or KR securities or tax laws or for any other purposes. I/We also confirm that I/we am/are not acting as agent on behalf of any US resident and/or US citizen and/or US tax payer and/or CA resident and/or any KR resident who is also KR national. I/We undertake to immediately notify you should I/we (in case of joint account holders, any one of us) become or be deemed to be (1) resident(s), citizen(s) or tax payer(s) of the US or (2) resident(s) of CA or (3) national(s) and resident(s) of KR or (4) if any of my/our address(es) on your record is or should be changed to US at any future time. 本人（等）确认，无论就美国或加拿大或南韩的证券或税务法例或其他方面而言，本人（等）均并非（1）美国居民或美国公民或美国纳税人 [及本人（等）并没有美国地址在贵行的纪录内] 或（2）加拿大居民，或（3）南韩公民而同时为南韩居民。本人（等）亦确认，本人（等）并不代表任何美国居民及 / 或美国公民及 / 或美国纳税人及 / 或加拿大居民，及 / 或南韩居民而同时为南韩公民行事。本人（等）承诺，如本人（等）（如为联名户口，则其中任何一位户口持有人）日后成为或被视为（1）美国居民或美国公民或美国纳税人或（2）加拿大居民或（3）南韩公民而同时为南韩居民或（4）本人（等）的地址是或应改为美国地址，将立即通知贵行。
13. I/We declare that I/we am/are not currently employed by any licensed or registered person to carry on regulated activities as defined in the Securities and Futures Ordinance ("Ordinance"). Otherwise, I/we understand that I/we am/are required to provide to the Bank written consent(s) from my/our employer(s) before I/we can open and operate this Investment Services Account. I/We undertake to promptly notify the Bank if I/we become or cease to be employed by any licensed or registered person to carry on regulated activities. 本人（等）确认本人（等）现时并非受雇于任何持牌法团或注册机构从事证券及期货条例（「条例」）界定的受规管活动；否则本人（等）明白本人（等）须向贵行提供本人（等）雇主所发出的同意书方可以于贵行开立及使用该投资服务户口。本人（等）并承诺如将受雇或不再受雇于任何持牌法团或注册机构从事受规管活动时，立即通知贵行。
Note: A licensed person means a licensed corporation licensed under the Ordinance. A registered person means a registered institution registered under the Ordinance.
注意：持牌法团指根据该条例获批给牌照的持牌法团。注册机构指根据该条例注册的认可财务机构。
14. I/We hereby declare that I/we have read and understood the Terms and Conditions for HSBC's eAlerts Service and agree to be bound by them. 本人（等）确认本人（等）已阅读及明白汇丰「e提示」服务条款及条件，并同意接受该等条款所约束。
15. I/We hereby confirm that the Bank does not offer tax advice of any nature and whilst the Bank may provide information from time to time, such information is not offered as tax advice. I/We shall seek independent professional tax advice if required. 本人（等）确认贵行不提供任何有关税务上的意见，然而贵行也许不时提供有关资料以作参考之用，但并不当作税务的意见。如需要，本人（等）均会征询独立的税务专业意见。
16. I/We, the undersigned, confirm that the Bank has not provided any tax or legal advice to me/us. 本人（等），即表格签署人，谨此确定贵行并无向本人（等）提供任何税务或法律意见。
17. I/We agree that the Bank may use and disclose all personal data about me/us that the Bank currently or subsequently hold for the purposes as set out in the Data Privacy Notice which accompanies this account opening form. 本人（等）同意贵行可以根据随附本户口开户表列载于《资料私隐通知》的用途，而使用和披露贵行目前或随后持有的有关本人（等）的所有个人资料。

Unless otherwise specified, capitalised terms used below have the same meaning as in the Terms and Conditions for HSBC GBA Wealth Management Connect Southbound Services. 除非另有说明，以下所使用的界定词汇与汇丰大湾区理财通南向通服务条款及细则具有相同的涵义。

18. I have read and understand, and agree to be bound by: 本人已细阅、明白，并同意受以下各项约束：
- the Account Forms; 开户表格；
 - the Terms and Conditions for HSBC GBA Wealth Management Connect Southbound Services; and 汇丰大湾区理财通南向通服务条款及细则；及
 - the Integrated Account Terms and Conditions. 综合理财账户条款及细则。（together, the "Terms" 统称「条款」）
19. I confirm that: 本人确认：
- I am a resident in the Greater Bay Area* of the People's Republic of China ("PRC") and hold a local registration permit or residence permit or other legal proof of residency; 本人是中华人民共和国（「中国」）大湾区的居民，并持有当地登记证或居住证或其他合法居住证明；
 - apart from the Onshore Remittance Account and the HSBC GBA Wealth Management Connect Account, I do not hold any other accounts with you or other banks or financial institutions in Mainland China or in Hong Kong S.A.R. for the purpose of the cross-boundary wealth management connect scheme; 除在岸汇款户口及汇丰大湾区理财通户口外，本人并无就跨境理财通机制的目的，在内地或香港特别行政区于贵行或其他银行或金融机构持有任何其他户口；
 - my Onshore Remittance Account will be used for the purpose of the WMC Services; and 本人的在岸汇款户口将用于理财通服务；及
 - I will inform you immediately of any change in my status that affects my eligibility to maintain my HSBC GBA Wealth Management Connect Account. 如本人的身份有任何变动会影响到本人维持汇丰大湾区理财通户口的资格，本人会立即知会贵行。

* *The Guangdong-Hong Kong-Macao Greater Bay Area (Greater Bay Area or GBA) comprises the two Special Administrative Regions of Hong Kong and Macao, and the nine municipalities of Guangzhou, Shenzhen, Zhuhai, Foshan, Hui Zhou, Dongguan, Zhongshan, Jiangmen and Zhaoqing in Guangdong Province. This may be subject to change from time to time.*

* *粤港澳大湾区（大湾区）包括香港和澳门两个特别行政区，以及广东省的广州、深圳、珠海、佛山、惠州、东莞、中山、江门和肇庆九个直辖市。大湾区范围可能会不时变更。*

20. I confirm and undertake that: 本人确认及承诺：
- I will comply with all Applicable Requirements for my use of the WMC Services (which are subject to change from time to time without prior notice); 本人将会遵守使用理财通服务的所有适用规定（可在毋须事先通知的情况下不时更改）；
 - I will not use the WMC Services in any way that is illegal; 本人不会以任何不合法方式使用理财通服务；
 - I will not authorise any third party to operate my HSBC GBA Wealth Management Connect Account; 本人不会授权任何第三方操作本人的汇丰大湾区理财通户口；

Supplementary declarations for HSBC GBA Wealth Management Connect Southbound Services

汇丰大湾区理财通南向通服务补充声明

INTERNAL

- d. I understand the risks relating to the WMC Services (including those as set out in Appendix 1 of the Terms and Conditions for HSBC GBA Wealth Management Connect Southbound Services – Risk Disclosure and Other Information "**Risk Disclosure Statements**") and I am willing to undertake those risks; 本人明白与理财通服务相关的风险（包括汇丰大湾区理财通南向通服务条款及细则附录 1—风险披露及其他资料（「**风险披露声明**」中所载的风险），并且愿意承担该等风险；
- e. I have been invited to read the Terms (in particular the Risk Disclosure Statements), ask questions and to take independent advice if I wish. I understand that the Terms do not disclose all the requirements and features of the WMC Services or Applicable Requirements or all the applicable risks when I use the WMC Services;
本人已获邀阅读有关条款（尤其是风险披露声明），并可按个人意愿提出问题及获取独立建议。本人明白，当本人使用理财通服务时，有关条款并未披露理财通服务或适用规定的所有规定及功能或所有适用风险；
- f. I understand that my HSBC GBA Wealth Management Connect Account is opened and maintained with you in Hong Kong for investment in Eligible Wealth Management Products in Hong Kong, while my Onshore Remittance Account is opened and maintained with a cooperating bank in Mainland China and is paired with my HSBC GBA Wealth Management Connect Account with you for cross-boundary fund remittance under the WMC Services;
本人明白本人在香港贵行开立及维持的汇丰大湾区理财通户口会用作在香港投资合格理财产品之用途，而本人在贵行合作的内地银行开立及维持的在岸汇款户口须与本人的汇丰大湾区理财通户口配对，作理财通服务下跨境资金汇款之用途；
- g. the Terms are my agreement with you for my HSBC GBA Wealth Management Connect Account and the WMC Services. The operation of my Onshore Remittance Account is subject to the terms and conditions applicable to such account provided by a cooperating bank in Mainland China; 有关条款是本人与贵行之间订立的关于本人的汇丰大湾区理财通户口及理财通服务的协议。本人在岸汇款户口的开立须受贵行合作的内地银行适用于此等户口的条款和细则所约束；
- h. I understand that the cooperating bank in Mainland China is incorporated in Mainland China and is not an authorised institution in Hong Kong as defined in the Banking Ordinance (Cap 155 of the Laws of Hong Kong) and is not subject to the supervision of the HKMA. The cooperating bank in Mainland China cannot carry on any banking business or the business of taking deposits in Hong Kong. Any deposits I maintain with the cooperating bank in Mainland China are not protected under the Deposit Protection Scheme in Hong Kong. The transactions carried out in respect of my Onshore Remittance Account under the WMC Services will be subject to the protection of Applicable Requirements prescribed by the relevant Authorities in Mainland China; 本人明白，内地合作银行于内地注册成立，并非《银行业条例》（香港法例第155章）所定义的香港认可机构，不受香港金融管理局监管。内地合作银行不得在香港开展任何银行业务或接受存款业务。本人在内地合作银行持有的任何存款不受香港的存款保障计划保障。就本人在理财通服务下的在岸汇款户口进行的交易将受内地有关当局制定的适用规定所保障；
- i. I understand that RMB is currently not freely convertible and the conversion of RMB is subject to Applicable Requirements;
本人明白人民币目前不可自由兑换，且人民币的兑换受适用规定所规限；
- j. I understand that cross-boundary remittance of RMB from my Onshore Remittance Account to my HSBC GBA Wealth Management Connect Account is subject to any aggregate and individual investor quota imposed by the Applicable Requirements and your requirements from time to time, and you may reject my instruction, in part or in whole, or put such instruction on hold if you consider appropriate; 本人明白，从本人的在岸汇款户口跨境汇款人民币至汇丰大湾区理财通户口受适用规定及贵行不时要求施加的任何总额度和个人额度所规限，如贵行认为适合，贵行或会拒绝接纳本人的部分或全部指示，或暂停执行有关指示；
- k. if I am in breach of any Applicable Requirement (such as the funds in my HSBC GBA Wealth Management Connect Account are mistakenly transferred to an account in breach of the Applicable Requirements), I will rectify the mistake by taking such actions as requested by you (which may include depositing funds into my HSBC GBA Wealth Management Connect Account); 如本人违反适用规定（如汇丰大湾区理财通户口中的资金被错误转账到违反适用规定的户口中），本人将根据贵行的要求采取行动以纠正有关错误（可能包括将资金存入本人的汇丰大湾区理财通户口中）；
- l. I understand that when you determine that I am in breach, or may be in breach, of any Applicable Requirements, you will file a report with the relevant Authorities and, as requested by these Authorities, take further actions such as suspending or terminating my use of the WMC Services and/or my HSBC GBA Wealth Management Connect Account, disposing of my Eligible Wealth Management Products, and allowing me to hold my Eligible Wealth Management Products in my HSBC GBA Wealth Management Connect Account until redemption at maturity and forbidding me from purchasing any new Eligible Wealth Management Products; 本人明白，若贵行厘定本人违反或可能违反任何适用规定，贵行将向有关当局提交报告，并采取有关当局要求的进一步行动，例如暂停或终止本人使用理财通服务及/或本人的汇丰大湾区理财通户口、处置本人的合格理财产品以及允许本人在本人的汇丰大湾区理财通户口中继续持有本人的合格理财产品直至到期赎回但禁止本人购买任何新的合格理财产品；
- m. I am responsible for all costs, expenses and taxes incurred in connection with the WMC Services and agree to indemnify you on demand from and against all costs, expenses and taxes which you incur in connection with the WMC Services;
本人须承担因理财通服务所招致的一切费用、开支及税款，并同意应贵行要求就理财通服务产生的一切费用、开支及税款向贵行作出弥偿保证；
- n. you are not responsible for advising on or handling any tax issues in connection with the WMC Services, and you do not provide any service or assistance in relation to tax; 贵行不会就理财通服务向本人建议或处理任何税务问题。贵行亦不会提供任何与税务有关的服务或协助；
- o. all the information provided by me in connection to the WMC Services is, and remains, true, accurate, correct and complete;
本人就有关理财通服务所提供的资料为，并应持续为真实、准确、正确及完备；
- p. I will provide you with such information and documents as you request from time to time for the purpose of verifying my identity and providing the WMC Services; and 本人将会提供贵行不时要求的该等资料及文件，以核实本人的身份，并提供理财通服务；及
- q. you can liaise with, and rely on information provided by, the onshore cooperating bank in Mainland China that opens my Onshore Remittance Account for the purpose of verifying my identity and my Onshore Remittance Account and providing the WMC Services. 贵行可与本人开立在岸汇款户口的内地合作银行联络，并依赖其所提供的资料，目的是核实本人的身份及本人的在岸汇款户口，并提供理财通服务。

21. I confirm and undertake that: 本人确认及承诺：

- a. I understand the WMC Services are limited to investment in the Eligible Wealth Management Products and cannot be used for any other investment; 本人明白理财通服务仅限于投资合格理财产品，不得用于任何其他投资；
- b. I will invest in my personal capacity and will not authorise any third party to operate my HSBC GBA Wealth Management Connect Account; and 本人将以本人个人身份进行投资，不会授权任何第三方操作本人的汇丰大湾区理财通户口；及
- c. I understand that all assets held in my HSBC GBA Wealth Management Connect Account (or any part thereof) must be free from any charge, lien or other security interests or encumbrances or claims in favour of any other person.
本人明白本人汇丰大湾区理财通户口（或其任何部分）内的所有资产必须不受以任何人士为受益人的任何押记、留置权或其他抵押权益或产权负担或申索所规限。

Supplementary declarations for HSBC GBA Wealth Management Connect Southbound Services

汇丰大湾区理财通南向通服务补充声明

INTERNAL

22. (Applicable to customers who apply for the WMC Services through attestation by the cooperating bank in Mainland China)
(适用于在内地合作银行见证下申请理财通服务的客户)

I confirm that: 本人确认:

- a. the cooperating bank in Mainland China is authorised to act as my agent; 内地合作银行获授权作为本人的代理人行事;
- b. I request and authorise you to: 本人要求并授权贵行:
 - i. receive this Application Form and any document and information related to this application from the cooperating bank in Mainland China; and
接收内地合作银行递交的本申请表以及与本申请相关的任何文件及资料; 及
 - ii. deliver the relevant account opening document and information to me through the cooperating bank in Mainland China;
经由内地合作银行向本人交付相关开户文件及资料;
- c. I decided to make this application after independent consideration and evaluation, without any invitation, suggestion or request from any person or institution (including any member of the HSBC group, the cooperating bank in Mainland China and their staff members);
本人经独立思考及评估后决定作出本申请, 并无收到来自任何人士或机构(包括汇丰集团任何成员公司、内地合作银行及其员工)的邀请、建议或请求;
- d. you are not responsible for any loss, damage, delay, error or omission which may occur during, arising from or in connection with the delivery and/or receipt of the relevant documents to and from the cooperating bank in Mainland China or any retention of documents on my behalf;
对于在向内地合作银行交付相关文件及/或从内地合作银行接收相关文件或代表本人保留相关文件的过程中可能发生的或因有关文件交收或保留而产生的或与之相关的任何损失、损害、延误、错误或遗漏, 贵行概不承担任何责任;
- e. this application is subject to your approval and you may reject this application regardless of whether I have undertaken the application steps and/or provided the required information. If this application is rejected, you will destroy and will not return any document or information I submitted in relation to this application.
本申请须经贵行批准, 无论本人是否已采取申请步骤及/或提供必须的资料, 贵行仍可以拒绝本申请。若贵行拒绝本申请, 贵行将销毁本人就本申请提交的一切文件或资料, 而不会予以返还。